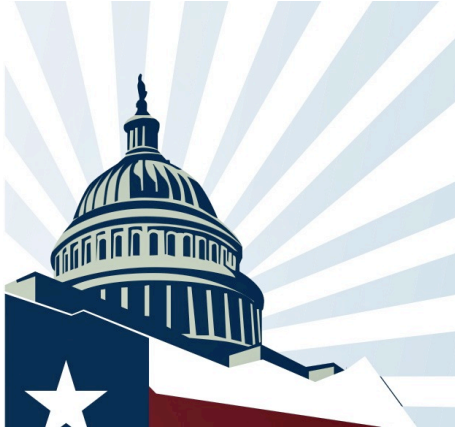




**Texas Financial Transparency:**  
**Open and Online**

**Policy Research Project 2009-2010**  
**Twitter hashtag: #opengov**



# Principles of Transparency

- ① Public = Online
- ② Timely & User Friendly
- ③ Data Follows the Money
- ④ Public Participation

**BUDGET BILLS AND REPORTS**

[General Appropriations Act for the 2010-11 Biennium: includes text of Conference Committee Report on SB1 as modified by bill list and Governor's Veto Proclamation \(All Articles\)](#)

[Articles 1 - 2](#)

[Article 3](#)

[Articles 4 - 8](#)

[Articles 9 - 12 and Veto Proclamation](#)

[Texas Budget Source](#)

[Budget 101: A Guide to the Budget Process in Texas](#)

[More](#)

**OTHER MAJOR PUBLICATIONS AND REPORTS**

[Federal Funds](#)

[Health and Human Services](#)

[Higher Education](#)

[Public Education](#)

[Public Safety and Criminal Justice](#)

[State Investment Funds](#)

[Revenue Survey - NCR \(2008\)](#)

[More](#)

**PERFORMANCE REVIEW**

[Request for Proposals](#)

[Request for Proposals - Questions and Answers](#)

[Agency Performance Review](#)

[Higher Education Performance Review](#)

[School Performance Review](#)

[Request for Qualifications](#)

[Effectiveness and Efficiency Report \(2009\)](#)

[More](#)

*The Legislative Budget Board (LBB) is a permanent joint committee of the Texas Legislature that develops budget and policy recommendations for legislative appropriations for all agencies of state government, as well as completes fiscal analyses for proposed legislation.*

*The LBB also conducts evaluations and reviews for the purpose of identifying and recommending changes that improve the efficiency and performance of state and local operations and finances.*

**ABOUT THE LBB**

[History](#)

[Responsibilities](#)

[Board Members](#)

[Former Board Members](#)

**HEARINGS, MEETINGS, AND NOTICES****LBB STAFF**

[Agency Assignments by LBB Team](#)

[Analyst Assignments](#)

[Team Membership](#)

**LINKS**

[Agency Legislative Appropriations Requests for FY2010-11](#)

[Bills, Fiscal Notes, and Impact Statements](#)

[County and Local Government](#)

[Download Adobe Reader 9](#)

[NCSL State Budget Updates](#)

[National Conference of State Legislatures \(NCSL\)](#)

[Research Resources](#)

[State Contracts Search](#)

[State Government](#)

[Texas Constitution and Statutes](#)

[Where the Money Goes](#)

[LBB Staff Email Access](#)

**AGENCY DATA ENTRY**

[ABEST Login Page](#)

[ABEST Logon Request Form](#)

[Fiscal Notes Login Page](#)

[Fiscal Notes Logon Request Form](#)

[Revenue Survey - NCR Login Page](#)

[Revenue Survey - NCR Logon](#)

[Request Form](#)

[State Contracts Login Page](#)

[State Contracts Logon Request Form](#)

**AGENCY INSTRUCTIONS**

[Letter regarding \\$800 payment to certain state employees](#)

[Cover Letter for Stimulus Package Reporting to LBB and Governor](#)

[2.5 Percent Budget Reduction](#)

[Frequently Asked Questions](#)

[ABEST/USAS Reconciliation](#)

[Base Reconciliation](#)

[Biennial Operating Plan](#)

[Contract Reporting](#)

[Fiscal Notes](#)

[Information Technology Detail](#)

[Legislative Appropriations Requests](#)

[Operating Budget](#)

[Performance Measures](#)

[Preparing Agency Strategic Plans](#)

[Revenue Survey - NCR](#)

[State Contracts](#)

**AGENCY REFERENCE DOCUMENTS**

[ARRA CFDA Program Names & Numbers](#)

[Method of Finance Codes](#)

[Object of Expense Codes](#)



## Checkbook

Our checkbook contains a searchable database of unaudited expenditures by category, payee and agency. The searchable database allows searching and drill down capability. You can view the results online, or download the data as a report or Excel spreadsheet. The searchable database is updated nightly Tuesday through Saturday.

Please note that our checkbook is sorted by payment fiscal year which shows payments made from October 1 to September 30.



Search by  
Category



Search by  
Payee



Search by  
Agency

Find an Address:

GO

FULL VIEW

Show Individual Projects on Map

Help

PENNSYLVANIA

NEW JERSEY

WEST VIRGINIA

DELAWARE

Garrett:

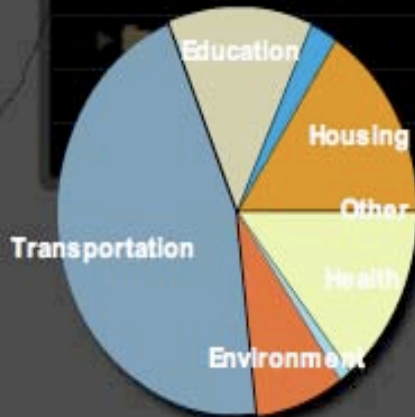
Categories	\$21,767,967
▶ Housing	\$3,433,086
▶ Public Safety	\$13,251
▶ Workforce	\$516,205
▶ Education	\$2,837,806
▶ Energy	\$0
▶ Other	\$9,908,952
▶ Transportation	\$1,733,000
▶ Environment	\$227,551

### Overall Stimulus

Categories

Garrett

(Hover mouse over chart or map for individual values.)





# Window on State Government

Transparency at Work in Texas



## Where the Money Goes

### Open Data Center

The Open Data Center is a new service offered as part of the Comptroller's transparency initiative. It is designed to provide direct access to machine-readable, platform-independent raw datasets. This allows anyone to download the data, analyze it, map it, slice and dice it to create multi-layered pictures of Texas state government at work.

New datasets will continue to be added as this section expands. Your feedback is welcome and encouraged. [Let us know](#) how you have used this data and what other types of data and data types you would like to see.

**Note:** *Some of these files are extremely large. You may experience long download times depending on the speed of your Internet connection. A broadband connection is highly recommended for downloading larger files. Please read our [Terms and Conditions](#) for guidelines regarding the sources and use of this data.*

Name	Size	Data Type	Data Layout
<b>2008 Expenditures by Agency - CPA</b> This file contains detailed expenditure information for the Comptroller of Public Accounts by fiscal year, and includes comptroller object, vendor name, payment date, appropriation number, appropriation year, and appropriated fund. This file may be used to examine an agency's spending patterns by any of those coding elements.	22.99 MB	CSV	LAYOUT
<b>2008 Expenditures by Agency - HHSC</b> This file contains detailed expenditure information for Health and Human Services Commission by fiscal year, and includes comptroller object, vendor name, payment date, appropriation number, appropriation year, and appropriated fund. This file may be used to examine an agency's spending patterns by any of those coding elements.	110.64 MB	CSV	LAYOUT



Access Comptroller initiatives targeting spending efficiency



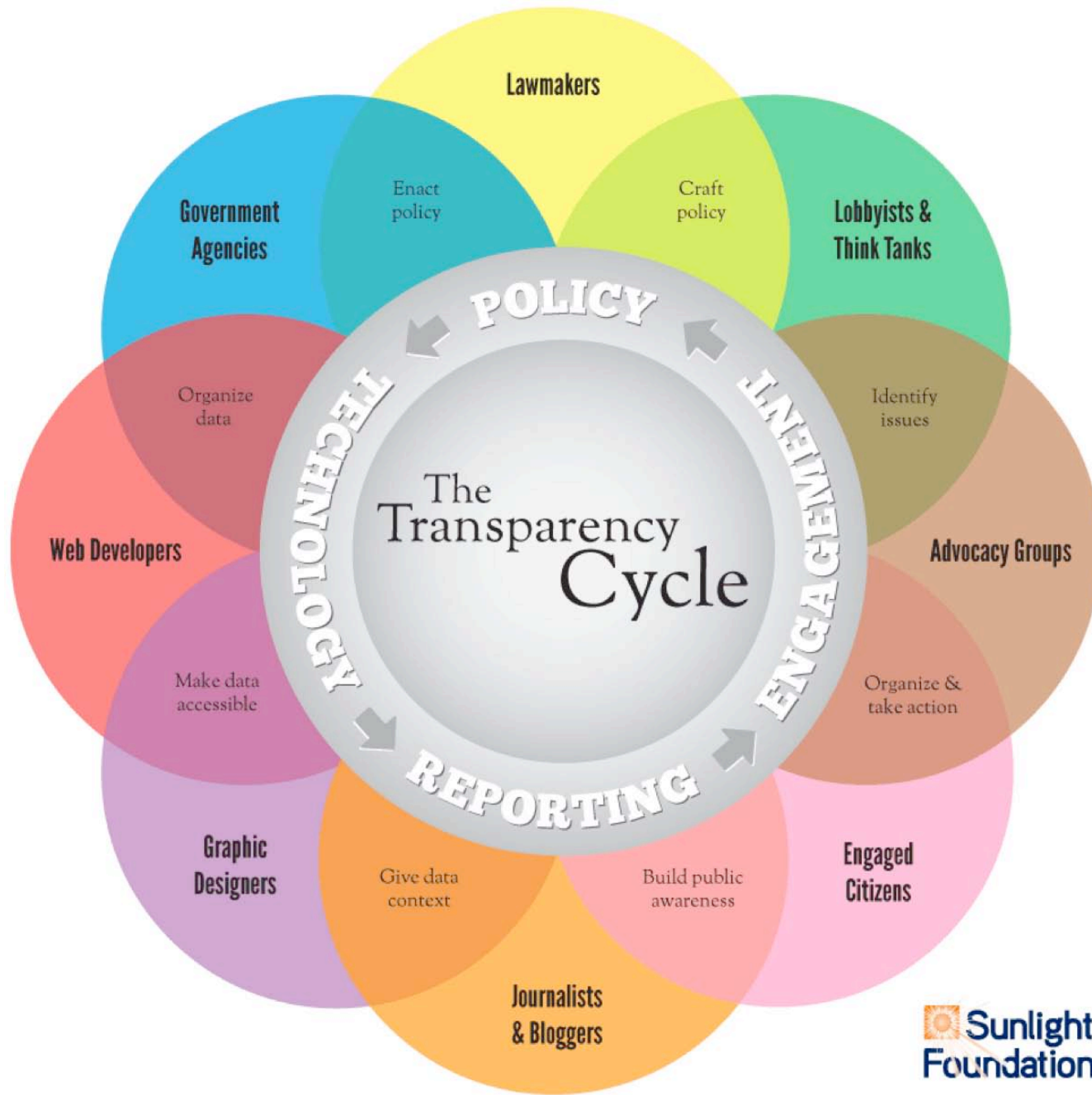
Track the flow of federal stimulus dollars in Texas



Find out where the money goes in your local area



View the Comptroller's Economic Outlook updated weekly





# Budgeting 101



## Overview of Statewide Budgeting

Click the link above to view an interactive tutorial on state budgeting concepts and procedures.

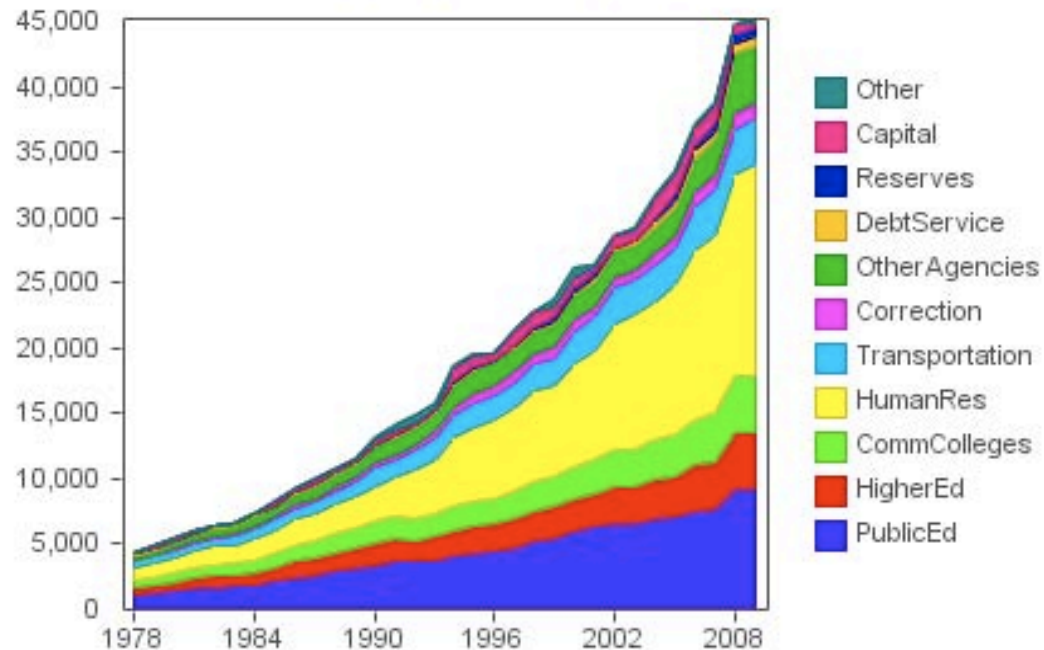
The **Budget Schedule for the Current Biennium (FY2009-2011)** lists the key milestones in the biennial budget process. [Click here](#) for a visual of the budget cycle.

**NASBO's Overview of Budgeting in the States** provides a high-level look at how states conduct the budget process.

The **Budget Manual** is a detailed guide prepared by OSBM for use by state agencies in discharging their budgetary responsibilities.

The **Budget Manual Update** illustrates, through PowerPoint slides, important modifications to the budgeting process in NC as a result of legislation or policy changes.

State Budget by Function (\$Mil)



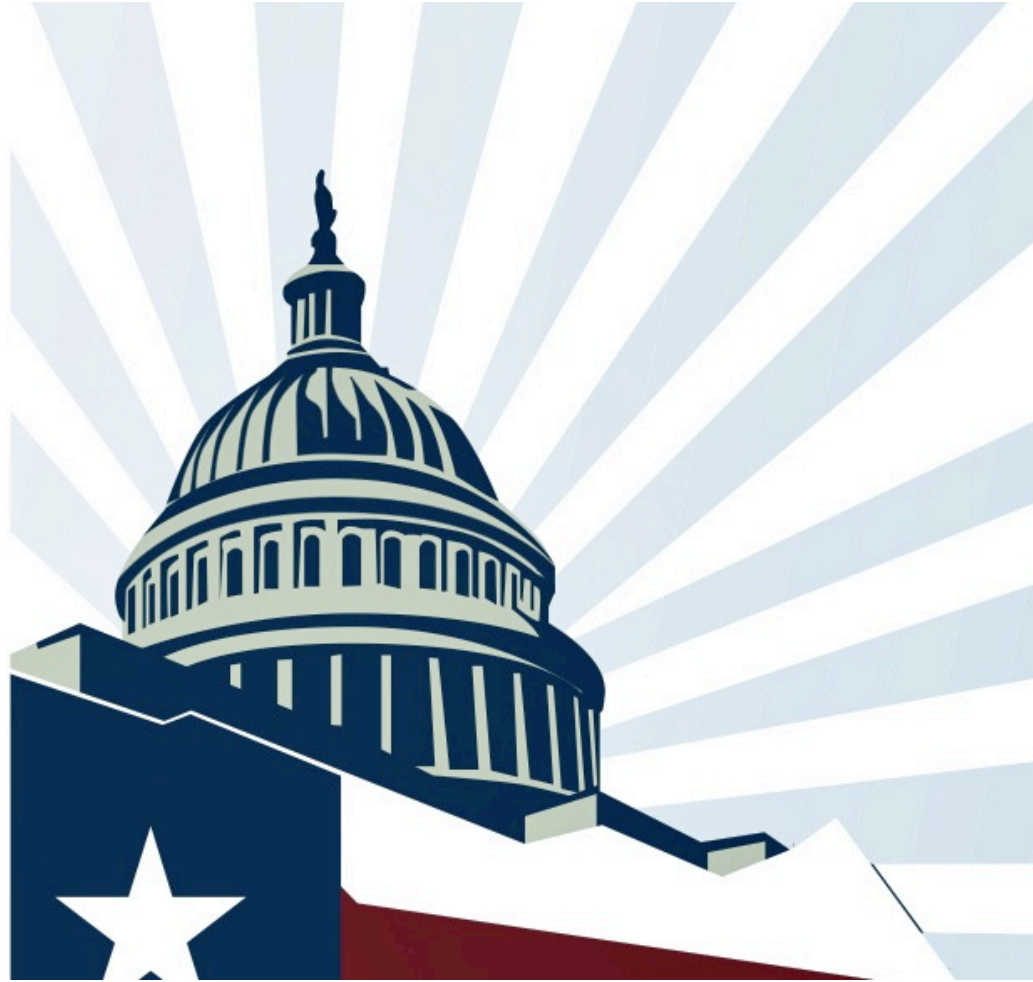
**Budget Highlights** summarizes the most recent biennial budget, including executive priorities by broad topic area.

**Our State, Our Money: A Citizen's Guide to North Carolina State Budget**

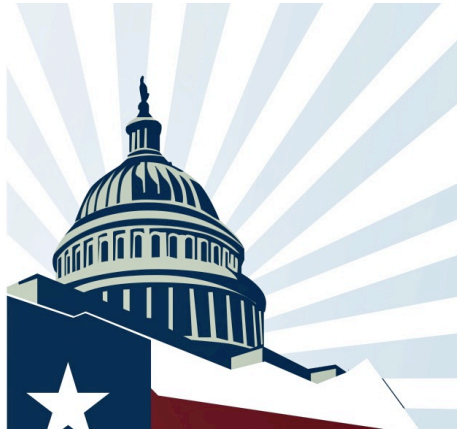
NC Progress Board, September 2003

**Historical Budget Data**, taken from the most recent biennial budget, is a collection of tables that aid in the analysis of the state's budget over time.



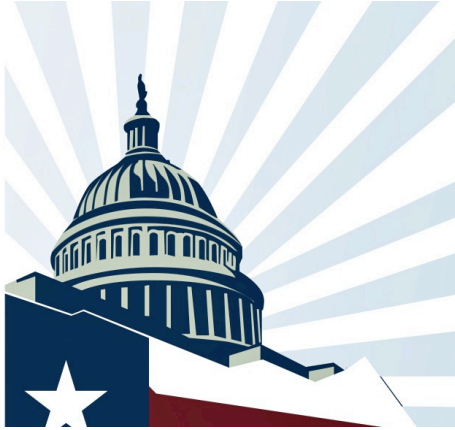


# **Local Government Financial Transparency**



# **Texas Comptroller's Office Financial Transparency Standards**

- ① Budget
- ② Check Register
- ③ Financial Reports



# Challenges for Local Governments

- ① Technical Capacity
- ② Financial and Human Resources
- ③ Organizational Commitment
- ④ Incentives and Guidelines



# Texas Comptroller Leadership Circle

The Comptroller of Public Accounts launched the Texas Comptroller Leadership Circle program in December 2009 to recognize local governments across Texas that are striving to meet a high standard for financial transparency online. We are spotlighting those local governments that are:

- opening their books to the public
- providing clear, consistent pictures of spending
- sharing information in a user-friendly format that lets taxpayers easily drill down for more information.

We have developed a free, self-scoring process that will be verified by our Local Government Assistance Division.

We will award Leadership Circle designees with a certificate reflecting their Circle Award level: Gold, Silver or Bronze.

 [Download the Self-Scoring Sheet](#) (PDF, 1022KB)



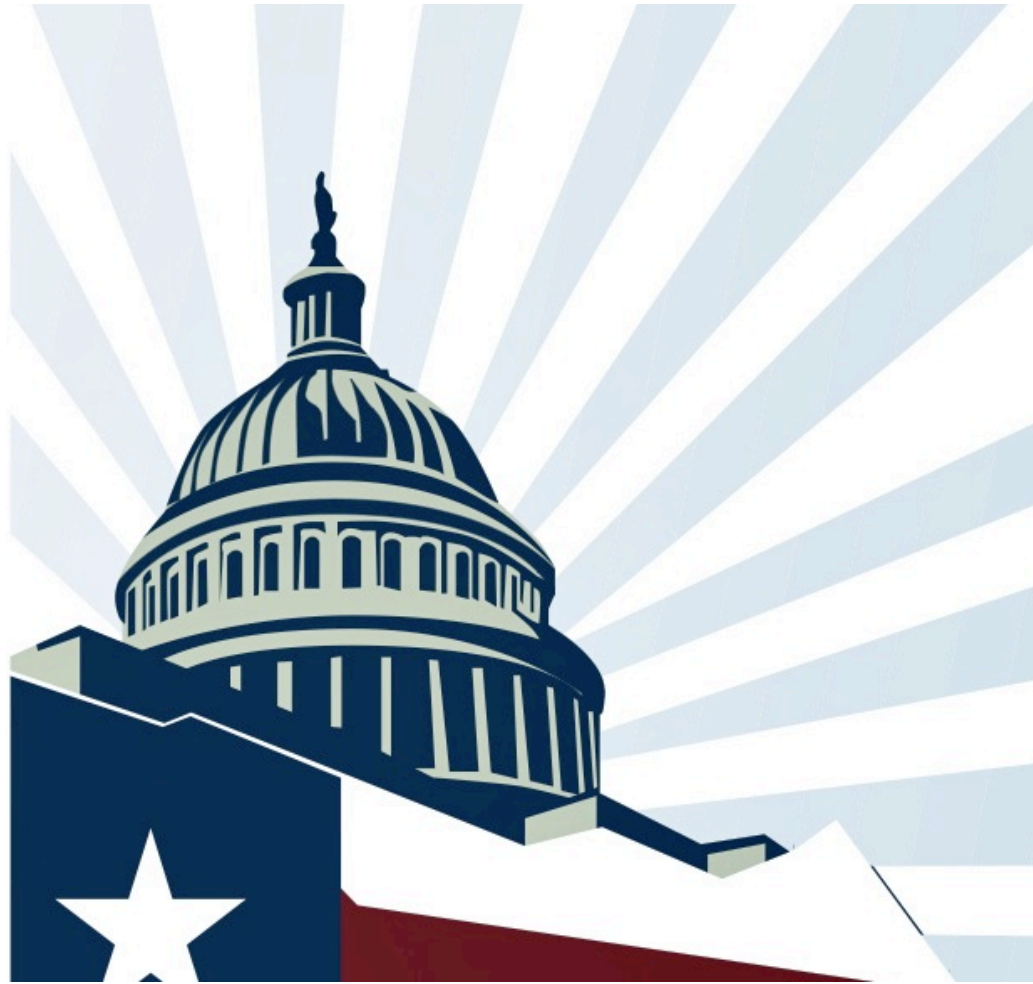
“Gold” highlights those entities that are setting the bar in their transparency efforts.



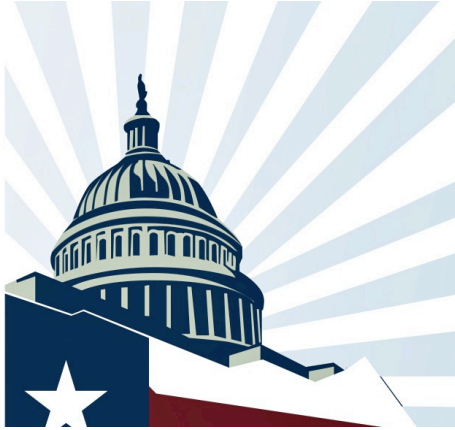
“Silver” encourages those who are making progress.



“Bronze” inspires those who are just beginning their transparency efforts.



# **Legislative Financial Transparency**



# Recommendations

- ① Provide Appropriations' working documents to public



# Summary (“Markup”) Document

## Department of Criminal Justice Summary of Recommendations - House

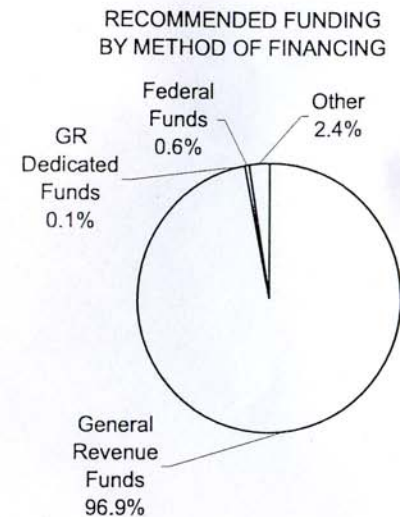
Brad Livingston, Executive Director  
Article V -12

Susan Dow, LBB Analyst

Method of Financing	2008-09 Base	2010-11 Recommended	Biennial Change	% Change
General Revenue Funds	\$5,551,257,365	\$5,630,470,646	\$79,213,281	1.4%
GR Dedicated Funds	\$6,125,733	\$6,153,610	\$27,877	0.5%
<i>Total GR-Related Funds</i>	<i>\$5,557,383,098</i>	<i>\$5,636,624,256</i>	<i>\$79,241,158</i>	<i>1.4%</i>
Federal Funds	\$40,239,194	\$37,203,479	(\$3,035,715)	(7.5%)
Other	\$252,869,130	\$137,758,354	(\$115,110,776)	(45.5%)
<b>All Funds</b>	<b>\$5,850,491,422</b>	<b>\$5,811,586,089</b>	<b>(\$38,905,333)</b>	<b>(0.7%)</b>

FTEs	FY 2009 Budgeted	FY 2011 Recommended	Biennial Change	% Change
	39,423.3	39,339.3	(84.0)	(0.2%)



The bill pattern for this agency (2010-11 Recommended) represents an estimated 100% of the agency's estimated total available funds for the 2010-11 biennium.

# Decision Document

House Appropriations Committee  
 Rep. Riddle, Chair  
 Members: Reps. McClendon, Crownover, Driver, and Edwards  
 Decision Document

Decisions as of 3/23/09, 9:30 pm

LBB Analyst: Susan Dow

Article V, Public Safety and Criminal Justice Texas Department of Criminal Justice	Outstanding Items for Consideration				Tentative Workgroup Decisions			
	Items Not Included in LBB Recs 2010-11 Biennial Total		Pended Items 2010-11 Biennial Total		Adopted 2010-11 Biennial Total		Article XI 2010-11 Biennial Total	
	GR & GR- Dedicated	All Funds	GR & GR- Dedicated	All Funds	GR & GR- Dedicated	All Funds	GR & GR- Dedicated	All Funds
<b>Technical Adjustments:</b>								
1. Rider 25. Appropriation: Texas Correctional Industries (TCI) Receipts. An adjustment to lower the General Revenue Method of Financing to match the Biennial Revenue Estimate. The adjustment would also raise the Interagency Contracts for TCI receipts (Other Funds) by the same amount.	\$ (930,437)	\$ -			\$ (930,437)	\$ -		
2. Rider 36. Appropriation: Education and Recreation Program Receipts. An adjustment to revise appropriations and rider estimates to match the Comptroller's Cost out of the General Appropriations Bill, As Introduced.	\$ (1,656,395)	\$ (1,656,395)			\$ (1,656,395)	\$ (1,656,395)		
3. Rider 39. Appropriation: Parole Supervision Fees. An adjustment to revise rider estimates to \$8,399,000 in fiscal year 2010 and \$8,502,000 in fiscal year 2011 to match the Biennial Revenue Estimate, an increase of \$2,255,000. No change in overall General Revenue Funding. <b>Adopted.</b>	\$ -	\$ -			\$ -	\$ -		
4. Rider 74. Project RIO Referrals and Educational and Workforce Services - Amend rider to add Strategy F.2.1, Parole Supervision, to the rider requirements. <b>Adopted.</b>	\$ -	\$ -			\$ -	\$ -		
<b>January 2009 Population Projection Adjustments:</b>								
1. Basic Community Supervision: An adjustment to revise basic supervision funding (Strategy A.1.1.) based on differences between the June 2008 and January 2009 population projections.	\$ (611,209)	\$ (611,209)			\$ (611,209)	\$ (611,209)		
2. Parole Supervision: An adjustment to revise parole supervision funding (Strategy F.2.1.) based on differences between the June 2008 and January 2009 population projections.	\$ 452,004	\$ 452,004			\$ 452,004	\$ 452,004		

# Texas Budget Monitor

Home > Search

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 Search

## Drew

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- Log out

## Search

Enter your keywords:

 Search

[Advanced search](#)

## Search results

### [Probation Outpatient Substance Abuse Treatment](#)

For additional **substance abuse** treatment (3,000 additional probationers per fiscal year). (**Abuse**) ...

Budget Line Item - Damien - 04/22/2010 - 14:18 - 0 comments

### [Substance Abuse Felony Punishment Facility and Intermediate Sanction Facility Initiatives](#)

Increase to provide two full years of funding for **Substance Abuse** Felony Punishment beds (1,500) and Intermediate Sanction F

Budget Line Item - Damien - 04/17/2010 - 15:49 - 0 comments





# Texas Budget Monitor

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## Probation Outpatient Substance Abuse Treatment

[View](#) [Edit](#)

**Article V**  
Texas Department of Criminal Justice

### Outstanding Items for Consideration

#### Items Not Included in LBB Recs

#### 2010-11 Biennial Total

GR & GR Dedicated	All Funds
\$10,000,000.00	\$10,000,000.00

#### Pended Items

#### 2010-11 Biennial Total

GR & GR Dedicated	All Funds
\$0.00	\$0.00

### Tentative Workgroup Decisions

#### Adopted

#### 2010-11 Biennial Total

GR & GR Dedicated	All Funds
\$0.00	\$0.00

#### Article XI

#### 2010-11 Biennial Total

GR & GR Dedicated	All Funds
\$0.00	\$0.00

For additional substance abuse treatment (3,000 additional probationers per fiscal year).

\$10,000,000.00	\$10,000,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
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## Appropriations Subcommittee on Criminal Justice



Representative  
Debbie Riddle



Representative  
Ruth McClendon



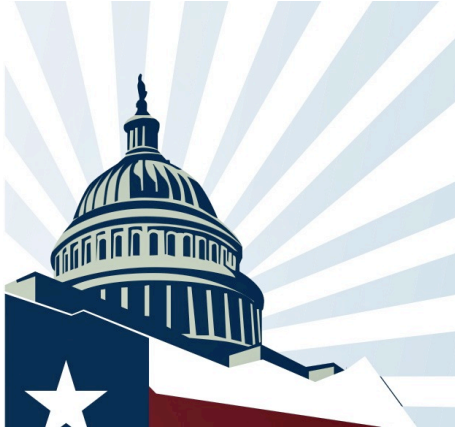
Representative  
Myra Crownover



Representative  
Joe Driver

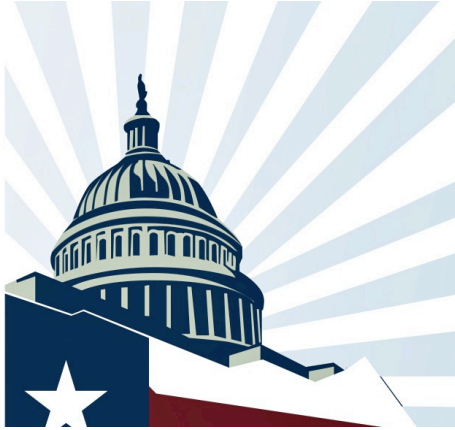


Representative  
Al Edwards



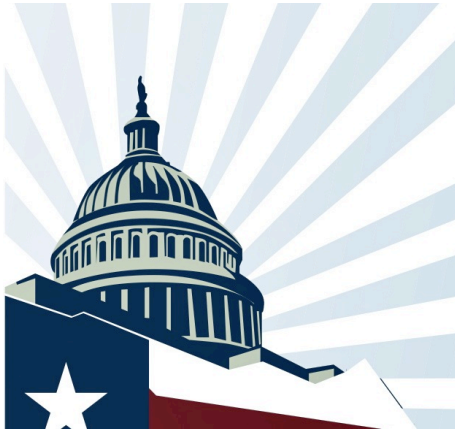
# Recommendations

- ① Provide Appropriations' working documents to public
- ② Implement changes before 2011 legislative session
  - Legislative Budget Board leaders
  - Interim charges & select committees



# Recommendations

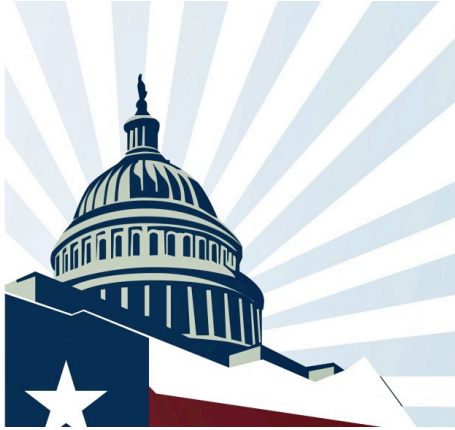
- ① Provide Appropriations' working documents to public
- ② Implement changes before 2011 legislative session
  - Legislative Budget Board leaders
  - Interim charges & select committees
- ③ Expand transparency beyond appropriations



# Principles of Transparency

- ① Public = Online
- ② Timely & User Friendly
- ③ Data Follows the Money
- ④ Public Participation





# The Payoff

- ① Efficiency
- ② Innovation
- ③ Accountability
- ④ Participation

# Questions?

To view the full research report, please visit  
[www.utexas.edu/lbj/cpg](http://www.utexas.edu/lbj/cpg)

