

JEFFERY A. YORG

7401 Cromwell Drive
Clayton, MO 63105
314-394-2094
jeffyorg@gmail.com

PROFESSIONAL EXPERIENCE

BUCKINGHAM WEALTH PARTNERS, LLC

October 2019-Present

A SEC registered financial advisor with over \$50 billion in combined assets under management focused on individual clients in separately managed accounts based in Clayton, Missouri

Chief Compliance Officer for Buckingham Strategic Partners and SA Funds and Associate General Counsel

- Oversee compliance program as well as legal operations throughout the Buckingham Strategic Partners, LLC including annual compliance testing, fixed income and equity trading, and contract negotiation with clients and vendors
- Maintain compliance oversight for a 1940 Act mutual fund complex including testing, trading, and legal
- Build relationships with third party vendors including State Street, Foreside Financial Services, and DST
- Undertake an enterprise risk management program throughout the firm

GURTIN MUNICIPAL BOND MANAGEMENT, A PIMCO COMPANY

February 2014-October 2019

A SEC registered investment advisor with, at the time, \$14.5 billion in assets under management focused on high grade fixed income separately managed portfolios for high net worth individuals

Chief Compliance Officer, Deputy Chief Risk Officer and Head of Risk, Compliance and Legal Department

- Built out a firmwide compliance program after taking over a largely outsourced compliance model
- Interfaced with regulators, examiners and outside counsel related to all required regulatory and legal matters, as well as prepare and submit all required regulatory filings and disclosure documents
- Implement and oversee an enterprise risk assessment framework used to determine, evaluate, and mitigate firm risks as well as guide resource allocation throughout the firm
- Oversee, monitor, and test all policies and procedures associated with firm's regulatory compliance program to ensure adequacy and effectiveness, as well as work to implement improvements
- Act as firm's general legal counsel reviewing and negotiating legal contracts and investment agreements with clients, other registered investment advisors, and service providers
- Manage legal, regulatory, and most operational aspects related to our advisory role for four mutual funds

HUSCH BLACKWELL LLP

September 2008-February 2012

A full-service litigation and business services law firm with multiple offices throughout the United States.

Associate Attorney, Banking and Finance Group

- Advised and counsel clients in the origination, servicing and closing of commercial real estate loans and acquisition, credit facility loans, and secured asset-based loans involving office buildings, hotels, apartments, and general business operations
- Structured, documented, and negotiated a wide variety of loan documentation including loan agreements, promissory notes, asset pledge agreements, guaranties, and mortgages
- Reviewed and addressed legal issues in leases, easements, mortgages and other real estate documents, as well as various title and survey related issues
- Represented lenders and investors in new market tax credit, historic tax credit, and low income housing tax credit projects

MOODY'S INVESTORS SERVICE

August 2002-August 2005; February 2012-February 2014

A worldwide credit rating agency performing international financial research and analysis on commercial and governmental entities.

Assistant Vice President/Senior Associate, Public Finance Group

- Key relationship contact and client manager for issuers, financial advisers, bond attorneys, buy-side analysts, underwriters and state and local government officials
- Prepared and gave numerous presentations discussing municipal credit analysis and the bond market to various stakeholders and clients at large conferences and in small groups
- Researched and analyzed credit quality of local governments through the analysis of financial statements, budgets, socioeconomic data, debt structures, market environment, and other qualitative data

EDUCATION AND CERTIFICATIONS

UNIFORM INVESTMENT ADVISER LAW EXAMINATION (Series 65), 2014 to present

SAINT LOUIS UNIVERSITY SCHOOL OF LAW, Saint Louis, Missouri

J. D., May 2008

Honors: School of Law Dean's Scholarship

Licenses: Missouri and Illinois

THE UNIVERSITY OF TEXAS LYNDON B. JOHNSON SCHOOL OF PUBLIC AFFAIRS, Austin, Texas

M.P.Aff. in Public Affairs/Governmental Policy, May 2002

Honors: Lyndon B. Johnson Foundation Award for Academic Excellence

WASHINGTON UNIVERSITY, Saint Louis, Missouri

M.A. in Political Economy, December 2000

WEBSTER UNIVERSITY, Saint Louis, Missouri

B.A., *Summa Cum Laude*, in History/Political Science, minor in Business Finance, May 1999

Honors: President's Award for Leadership and Citizenship; Dean's Special Recognition Award for Service

COMMUNITY AND PROFESSIONAL INVOLVEMENT

CHARACTER PLUS, Board of Directors, 2021-present

LYNDON B. JOHNSON SCHOOL OF PUBLIC AFFAIRS, Alumni Board of Directors, 2021-present

SOUTHSIDE EARLY CHILD CENTER, Board of Directors, 2018-present; Finance Committee and Human Resources Committee, 2016-present

COLLEGE BOUND ST. LOUIS, Finance Committee, 2017-present

CITY OF CLAYTON, MISSOURI, Economic Development Advisory Committee, 2020-present

STL VENTUREWORKS, Board of Directors, 2020-present

WEBSTER UNIVERSITY, Board of Trustees, 2018-2021

WEBSTER UNIVERSITY ALUMNI ASSOCIATION, Board of Directors and Vice President, 2004-2010; 2016-2018

CITY OF KIRKWOOD, MISSOURI, Pension Committee, 2017-2019

CLAYTON MISSOURI CHAMBER OF COMMERCE, Leadership Clayton, Class of 2010-2011